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## Clinical Features and Outcome of Birth Asphyxia in Hôpital du Cinquantenaire of Kisangani: A Cross-Sectional Study

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#### Authors' contributions

This work was carried out in collaboration between all authors. Author BGM designed the study, collected data and managed statistical analysis and literature review. Authors KVM and VEH collected data author LBB contributed in the study design and discussion. All authors read and approved the final manuscript.

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#### **ABSTRACT**

**Aims:** There paucity of studies on asphyxia, one of the three main reasons for newborn deaths in Democratic Republic of Congo (DRC). In this country, newborn mortality is among the highest in Africa. This study was conducted to identify the clinical features and outcome of perinatal asphyxia. Risk factors associated with asphyxia were also determined.

Study Design: A cross-sectional study.

Place and Duration of Study: It was conducted in the pediatric department of Hôpital du Cinquantenaire of Kisangani (HCK), from March 2013 to March 2017.

**Methodology:** Clinical and biologic parameters (prenatal, perinatal and postnatal) of term newborns, hospitalised for perinatal asphyxia in the HCK were retrospectively collected and analysed. Data of dead newborns were compared to those who survived to determine risk factors associated with asphyxia mortality. One case matched three controls of the same sex.

**Results:** During the period above, 612 newborns were received in the HCK, and 146 died. One hundred and nineteen out of 612 had perinatal asphyxia (19.4%), and 26 out of 119 died (17.8% of all newborn deaths and 21.8% of all asphyxia cases). The most frequent perinatal antecedents were premature rupture of the membranes, meconium-stained amniotic liquid, pre-eclampsia and eclampsia. In bivariate analysis, factors associated with asphyxia lethality were low birthweight (P=.02), reference from another hospital (P=.01), age more than 24 hours (P=.02), associated sepsis (P=.003), asphyxia severity (P<.001) and the Stage 3 of Sarnat (P<.001).

**Conclusion:** The frequency of the asphyxia is high in the HCK and its mortality associated with avoidable factors. Networking newborn referral, improving skills of nurses about obstetrical and neonatal emergency cares, and making available equipment for newborn resuscitation can reduce that mortality.

Keywords: Perinatal asphyxia; newborn; risk factors.

#### 1. INTRODUCTION

Up to 7,6 millions of children under 5 years-old deceased during 2010: three million were newborns, (40% of whole deaths). Prematurity, intrapartum complications and sepsis were the main reasons of newborn death [1]. In the world health statistics 2010 published by the WHO, DRC appeared among the 3 countries having the strongest infanto-juvenile mortality, just before Angola and Chad, with a rate of 199 per thousand [2]. The Demographic and Health Survey of 2014 found a rate of 104 [3], what was a meaningful reduction of neonatal mortality in 2014. However, these rates remain high, and the same report underlines that neonatal mortality did not significantly vary [2]. The poor performance of health worker has multiple determinants ranging from proximal ones such as a lack of knowledge, skill and motivation to distal factors such as disabling working environment in health facilities (poor clinical practices, leadership and supervision; lack of adequate supplies and equipment; health workers' ineffective participation in planning; and lack of peer support) [4].

Close to 75% of newborn deaths occur in the first week of life, due to prematurity (35%), perinatal asphyxia (23%) and sepsis (23%) [1,4,5,6,7]. Other studies found that perinatal asphyxia was the second cause of neonatal mortality [7-9].

It also causes a lot of complications: cerebral palsy, psychomotor development impairment, a socioeconomic burden for the family. The prevalence of peripartum asphyxia is low in developed countries: while in low-income countries, about one out of 5 newborn suffers from asphyxia at birth and 10 to 33% of them die [10-11].

In DRC, the health system encounters several difficulties [3], and the problem of underequipment for neonatal resuscitation is widespread in many hospitals. There is paucity of literature on asphyxia are available. Biselele found preeclampsia, urogenital infection, and mild asphyxia as most frequent perinatal features of asphyxiated newborns. The same study found an association between the severity of hypoxoischemic encephalopathy and asphyxia mortality [12]. This study was conducted to identify the clinical features and outcome of perinatal asphyxia. Risk factors associated with asphyxia were also determined.

#### 2. MATERIALS AND METHODS

#### 2.1 Operationalization of Variables

Perinatal asphyxia was diagnosed when term newborn had an Apgar score less than 7 at the 5<sup>th</sup> minute of life. Asphyxia was classified as the mild Apgar score at the if 5<sup>th</sup> minute, ranged between 4 and 6. If the Apgar score ranged between 0 and 3, the asphyxia was considered as severe.

Hypoxo-ischemic encephalopathy (HIE) was classified according to Levene and Sarnat & Sarnat: Grade 0 for wellbeing newborn, grade 1 or light HIE, grade 3 or mild HIE and grade 3 or HIE severe [13]. Neonatal sepsis was diagnosed when a newborn had a positive C reactive protein realised at the 12<sup>th</sup> hours or later.

### 2.2 Study Design, Sample, Inclusion Criteria, Variables

This was a cross-sectional study. Data were collected from medical folders of all newborns hospitalised in the HCK with asphyxia from

March 2013 to March 2017. Some were born in HCK and other referred from other hospitals of the city. Transferred cases with asphyxia who died during transportation to HCK were excluded. All premature, as well as term newborns with lifethreatening congenital malformations and those leading to surgical emergency (anal imperforation, primitive peritonitis, necrotizing enterocolitis), were excluded.

There are no therapeutic hypothermia facilities in the HCK. The cases of asphyxia received a symptomatic medical treatment solely, based on oxygen, 10% glucose infusion enriched of trace elements, phenobarbital if there were seizures. Descriptive analysis were performed antenatal features (mode of childbirth, maternal history of pre-eclampsia or eclampsia, placenta prævia, cord procidence, dystocic presentation) and postnatal (meconium stained amniotic fluid, Apgar at 1 and 5 minutes, clinical signs in the following hours, duration of hospitalization, mortality within 24 and 48 hours). Bivariate analysis compared features of survival newborns to those of dead in the purpose of idenfying risk factors associated to mortality. Three controls matched 1 case on the basis of sex.

Statistical analysis was realised by Microsoft Excel® 2016 and Epi info $^{\text{TM}}$  7.1.5.Pearson's chi-square and odds ratio were used to analyse the association between variables and to determine

risk factors. Quantitative data were compared with the student t-test.

#### 3. RESULTS AND DISCUSSION

#### 3.1 Socio-demographic and Clinical Data

From March 2013 to March 2017, 612 newborns were hospitalized into the HCK, among whom 119 were asphyxiated (19,4% of all newborn hospitalisations). One hundred and forty-six died for miscellaneous causes, including 26 with perinatal asphyxia (17.8% of all newborns deaths and 21.8% of asphyxia lethality).

The sex ratio F/M was 0.7 (52/67). Most of the newborns were male and ≤1 day old. The mean age at arrival in neonatology department was 1.7 days (median: 1 day). Majority of them came from Makiso township (61.3%) and Kabondo (20.1%). Birthweight varied between 1845 g and 4250 g (mean  $3120.1 \pm 551.2$  g; median 3095 g), and 13% of the newborn had low birthweight (<2500 g).

Respiratory distress was the most common clinical feature at admission. About HIE, 13 cases were in grade 0 (healthy newborns), 18 cases in grade 1, 33 cases in grade 2 and 17 in grade 3. The mean hospitalization duration was  $7.6 \pm 4.2$  days (median 5 days).

Table 1. Antenatal, perinatal and clinical features of asphyxiated newborns

	Features	freq	%
Antenatal	Pre-eclampsia/eclampsia	26	(22.1%)
	Premature rupture of membranes	22	(18.1%)
	Premature rupture of membranes	22	(18.1%)
	Prolonged labor	14	(11.6%)
	Dystocic presentations	13	(10.5%)
Perinatal	Meconium stained amniotic fluid	43	(36.4%)
Clinical signs	Respiratory distress	59	(49.4%)
	Pallor	26	(22.1%)
	Lethargy	20	(16.9%)
	Seizures	19	(15.6%)
	Fever	19	(15.6%)
	Excessive crying	15	(13%)
	Gastro-intestinal tract hemorrhage	9	(7.8%)
	Unconsciousness	5	(4 %)
	Twins	5	(4%)
Origin	Born in HCK	58	(48.74%)
	Referred from other hospitals	61	(51.26%)
Fatal outcome	All deaths among asphyxiated newborns	26	(21.85 %)
	Mortality during the first 24 hours (n=26)	20	(77.6%)

Table 2. Factors associated with mortality

		Deceased	Alive	OR <sup>*</sup> (CI <sup>†</sup> 95%)	P
Birthweight	< 2500 g	8	9	3.4 (1.1 – 10.3)	.02
-	> 2500 g	19	84		
Sex	Female	11	41	.9(.3-2.2)	.8
	Male	15	52		
Origin	Referred	19	42	3.2 (1.2 - 9)	.01
	HCK	7	51	, ,	
Age at admission	≤ 1 day	17	79	2.9 (1.1 - 8)	.02
· ·	> 1 day	9	14	, ,	
Sepsis	Yes	15	25	3.7(1.5 - 9.1)	.003
,	No	11	68	,	
Asphyxia severity	Light	7	81	.05 (.01 – .1)	< .001
	Severe	19	12	,	
HIE Sarnat grade	0	1	44		< .001
9	1	4	28		
	2	9	19		
	3	12	2		

\*OR: odds ratio;<sup>†</sup>CI: confidence interval

#### 3.2 Analytic Data (N = 368)

Deceased newborns had a lower birth weight (2912.3  $\pm$  609.8 g) than those who survived (3178.2  $\pm$  522.5g):t (117) = -2.21;P = .02). The low birthweight had a three-fold risk of dying with asphyxia than those who had a normal birth weight.

Referral from other hospitals, arriving at HCK at an age older than 1 day, sepsis, severe asphyxia, Sarnat grade 2 and 3 were associated with higher risk of mortality.

#### 3.3 Discussion

The majority of our newborns were male, from Makiso township. The hospital is located in Makiso and Kabondo is the nearest township. Other authors reported the male predominance with a meaningful difference in favour of the boys [14,15]. Tina Katamea in DRC found that the neonatal mortality was associated to masculine sex [16]. In this study, there was no meaningful difference between boys and girls.

The peripartum asphyxia represented 19.4% of all newborns hospitalizations in to the HCK and 17.8% of neonatal mortality. This rate was higher than the 10.94% found in India [10] but close to the 18% found in Cameroon [11].

Mortality related to perinatal asphyxia (Table 1) was higher than 10% found in India [10], 18.5% in Nigeria [7] and 21% in Ghana [9]. It was lower

than 32% in Liberia [8], 30% in Nepal [17], 25% in Kenya [6] and Malawi [18].

The Sarnat grade describes the severity of HIE: higher grades had more severe HIE. Therefore, in this study, like in many others, mortality was associated with highest grades [10-12,19,20].

Reference from other motherhoods age at admission were evident risk factors [7]. For the best moment to avoid asphyxia is the period of the 4 first minutes. If asphyxia could not be avoided, the first 6 hours of life were the most important time to use the cooling device to prevent HIE and neurologic damage [21]. This shows how bad can be the prognosis of newborn arriving later than 24 first hours. No cooling device was available in HCK. only children born there could be immediately resuscitated and that explains the significant difference between them and those referred from other hospitals.

#### 4. CONCLUSION

The mortality rate of perinatal asphyxia was high in HCK and associated with the preventable factors. Networking newborn referral, improving skills of nurses in the motherhood staffs of hospitals in Kisangani about emergency obstetric and neonatal care, and providing to them devices for neonatal resuscitation is necessary interventions to reduce mortality due to neonatal asphyxia.

#### CONSENT

It is not applicable.

#### ETHICAL APPROVAL

This study received the agreement of Research authorities of Faculty of Medicine and Pharmacy of University of Kisangani.

#### **COMPETING INTERESTS**

Authors have declared that no competing interests exist.

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